



THE MAIN STREET AMERICA GROUP



EMPLOYEE RETIREMENT INCOME SECURITY ACT OF 1974 – ERISA ERISA BOND INFORMATION SHEET (Bond includes inflation guard endorsement)

Effective Date: _____ Agency Name/Code _____

Name of Plan(s) to be Covered _____

Physical Address _____

Mailing Address _____

Plan Sponsor _____

Plan Sponsor Address _____

** The Plan Sponsor is defined in section 3(16) of ERISA, usually being the employer or employee organization that maintains the plan.*

Penalty: \$ _____. ERISA requires coverage in an amount equal to 10% of qualified plan assets up to \$500,000. When the applicant purchases the amount required by ERISA an MSA Group endorsement automatically increases the penalty to the required minimum amount as the plan assets increase. If the minimum required penalty is not purchased at inception, the automatic penalty increase will not occur.

How Many Employees (Trustees/administrators) have direct access to Plan Funds? _____

Does this plan cover Union funds and/or Multi-Employer funds? _____

Has this plan suffered any dishonesty losses? _____

Does this plan contain any Non-Qualifying Assets and/or ESOP-Employer Securities as defined by ERISA? _____

(Please provide complete details on a separate sheet for any question answered Yes)

Billing Options: Agency Bill Direct Bill. Note that under either billing option the bond is continuous and billed for a three year term.

Rates shown are for NGM Insurance Company only: except Minnesota where rates shown are for Spring Valley Mutual Insurance Company. Rates are not valid in Alaska, California or Hawaii. *Note: Premiums are based upon a **three year term** with 5 (or fewer) ratable employees (i.e. trustees or administrators). Please contact your underwriter for additional coverage options and premium.

Bond Penalty	Bond Premium	Bond Penalty	Bond Premium
Under \$10,000	\$100	\$260,000	\$251
\$20,000	\$100	\$270,000	\$255
\$30,000	\$106	\$280,000	\$259
\$40,000	\$121	\$290,000	\$262
\$50,000	\$136	\$300,000	\$266
\$60,000	\$149	\$310,000	\$270
\$70,000	\$163	\$320,000	\$273
\$80,000	\$173	\$330,000	\$277
\$90,000	\$182	\$340,000	\$281
\$100,000	\$190	\$350,000	\$285
\$110,000	\$194	\$360,000	\$288
\$120,000	\$198	\$370,000	\$292
\$130,000	\$202	\$380,000	\$296
\$140,000	\$206	\$390,000	\$299
\$150,000	\$210	\$400,000	\$303
\$160,000	\$214	\$410,000	\$307
\$170,000	\$218	\$420,000	\$311
\$180,000	\$222	\$430,000	\$314
\$190,000	\$225	\$440,000	\$318
\$200,000	\$229	\$450,000	\$322
\$210,000	\$233	\$460,000	\$325
\$220,000	\$236	\$470,000	\$329
\$230,000	\$240	\$480,000	\$333
\$240,000	\$244	\$490,000	\$337
\$250,000	\$248	\$500,000	\$340